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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 0756-2131

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: A METHOD OF MANUFACTURING A SEMICONDUCTOR **DEVICE**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents Box Patent Application

Washington, DC 20231

- 1. [X] Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
- Total Pages [38] 2. [X] Specification
- (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
- Abstract of the Disclosure
- 3. [X] Drawing(s) (35 USC 113)
- 4. [X] Oath or Declaration

Total Sheets [6] Total Pages [2]

- a. [] Newly executed (original or copy)
 - b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
 - i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. [X] Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [] Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. [] Assignment Papers (cover sheet & document(s))
- 9. [] 37 CFR 3.73(b) Statement
- [] Power of Attorney (when there is an assignee)
- 10. [] English Translation Document (if applicable) 11. [X] Information Disclosure Statement
 - [] Copies of IDS (IDS)/PTO-1449 Citations
- 12. [X] Preliminary Amendment
- 13. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 14. [] *Small Entity Statement(s)
- [] Statement filed in prior application, Status still proper and desired
- (PTO/SB/09-12)
- 15. [] Certified Copy of Priority Document(s)
- (if foreign priority is claimed)
- 16. [X] Other: Notice of Change of Address

*A new statement is required to be entitled to pay small entity fees. except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Divisional of prior application Serial No. 08/784,294, filed January 16, 1997.

Prior application information: Examiner: F. Abraham

Group/Art Unit: 2811

18. CORRESPONDENCE ADDRESS

(X) Customer Number or Bar Code Label

Customer No. 22204

or IXI Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Eric J. Robinson NIXON PEABODY LLP Firm:

Address: 8180 Greensboro Drive, Suite 800

McLean Country: U.S.A.

State: VA Telephone (703) 790-9110 Zip Code: 22102 FAX (703) 883-0370

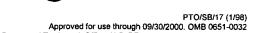
Name: Eric J. Robinson

Registration No. 38,285

Signature

Date: March 24, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Approved for use through 09/30/2000. OMB 0651-0032
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Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.			Application Number								
			Filing Date		March 24, 2000						
			First Named Inventor		Shunpei YAMAZAKI et al.						
			Examiner Name		F. Abraham						
			Group Art Unit		2811						
TOTAL AMOUNT OF PAY	MENT	\$690.00	Attorney Docket	Number	0756-21	31					
METHOD OF PAYMENT (check one)				3. ADD Large E	FEE CALCULATION (continued) ITIONAL FEES ntity Small Entity						
[X] The Commissioner is hereby authorized to charge indicated fees and				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: NIXON PEABODY LLP				105 127	130 50	205 227	65 25	Surcharge-late filing fee or oath Surcharge-late provisional filing fee or cover sheet			
[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of					130 2,520	139 147	130 2,520	Non-English specification For filing a request			
Allowance				112	920°	112	920 *	for reexamination Requesting publication of SIR			
[X] Payment Enclosed: [X] Check [] Money Order [] Other					1,840*	113	1,840*	prior to Examiner action Requesting publication of SIR after Examiner action			
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					300	219	150				
					300	220	150	Filing brief in support of			
Code (\$) Code (\$)				121 138	260 1,510	221 138	130 1,510	Request for Oral Hearing Petition to institute public use			
101 690 201 3- 106 310 206 1	[690] []		1			proceeding					
107 480 207 2	40	Design filing fee Plant filing fee Reissue filing fee	ii	140 141	110 1,210	240 241	55 605	Petition to revive-unavoid Petition to revive-uninter			
108 690 208 3- 114 150 214 7	[]	142	1,210	242	605	Utility issue fee (or reissue)					
114 150 214 75 Provisional filing fee []					430	243	215	Design issue fee			
SUBTOTAL (1) \$690.00					580 130	244 122	290 130	Plant issue fee Petitions to the Commissioner Petitions related to provisional			
					50	123	50				
2. EXTRA CLAIM FEES Extra Claims Fee from Below Fee Paid Total Claims					240	126	240	applications Submission of IDS			
					40	581	40	Recording each patent assignment per property (times			
Multiple Dependent Claims \$260.00 = \$ **or number previously paid, if greater, For Reissues, see below Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)				146	760	246	380	number of properties) Filing a submission after final rejection (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b)) Other			
				149	760	249	380				
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102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim								*Reduced by Basic Filing Fee	Paid		
109 78 209 39**Reissue independent claims over original patent						ł		SUBTOTAL (3)		\$	
110 18 210 9 ^{••} Reissue claims in excess of 20 and over original patent											
SUBTOTAL (2) \$											
SUBMITTED BY								Complete (if applicable)			
Typed or Printed Eric J. Robinson Name								Reg. Number	Number 38,285		
Signature	nature 5				Date	March 24, 2000		Deposit Account User ID	19-2380		

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